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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/851,602	05/09/2001	Michael W. Medin	06948.105024

CONFIRMATION NO. 2580

20786  
KING & SPALDING  
191 PEACHTREE STREET, N.E.  
ATLANTA, GA 30303-1763

## FORMALITIES LETTER



\*OC000000006273894\*

Date Mailed: 07/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$40.
  - \$40 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 460.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/15/2001 NTEDKLE1 00000111 110980 09851602

02 FC001	370.00 OP
05 FC002	42.00 OP
07 FC003	48.00 OP
17.00 CH	



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael W. Medin et al.

Serial No. 09/851,602

Filed: May 9, 2001

For: System and Method for Passively Aligning  
and Coupling Optical Devices

Group Art Unit: 2874

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TRANSMITTAL OF MISSING PARTS AND FILING FEE

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Box Missing Parts  
Assistant Commissioner of Patents  
Washington, DC 20231

Tuesday, October 9, 2001

Sir:

In response to the Notice of Missing Parts mailed July 9, 2001, the undersigned attorney for applicant hereby transmits:

1. an executed Declaration and Power of Attorney for Applicant;
2. PTO-1595 and an executed Assignment from the Applicant to CIRREX Corp.;
3. two checks, one in the amount of \$515.00 to cover most of the basic filing fee and the surcharge for the late filing of these parts, and one in the amount of \$40 to cover the assignment recordal fee; and
4. a duplicate copy of Notice to File Missing Parts of Application—

Filing Date Granted.

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on October 9, 2001.

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Steven P. Wigmore, Reg. No. 40,447

5. Petition for one-month Extension of Time in the amount of fifty-five (\$55.00) dollars.

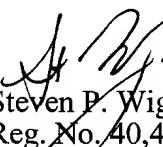
The filing fee is calculated as follows:

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY		LARGE ENTITY	
			RATE	FEE	RATE	FEE
BASIC FEE				\$370		\$710
TOTAL CLAIMS	20 - 20 =	0	x9 =	0	x18 =	
INDEP. CLAIMS	4- 3 =	0	x42 =	42	x80 =	
MULTIPLE DEPENDENT CLAIM PRESENTED			+135 =		+270 =	
LATE-FILING SURCHARGE			+ 65 =	65	+130 =	
FOREIGN LANGUAGE SURCHARGE			+130 =		+130 =	
			<b>TOTAL</b>	<b>\$477</b>	<b>TOTAL</b>	

It is recognized that the new fees of October 1, 2001, are now in effect. Therefore, it appears that the checks attached to this letter fall short of the requisite total by seventeen (\$17.00) dollars. Therefore, please charge any additional fees, or credit any overpayment, to Deposit Account 11-0980.

It is believed that the submission of these papers and this payment completes the filing of all necessary papers and it is therefore respectfully requested that the application be passed to the appropriate examining group for action on the merits.

Respectfully submitted,

  
Steven P. Wigmore  
Reg. No. 40,447

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